

# Vitali Covering in the Weihrauch degrees



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# Introduction

# The Vitali Covering Theorem

- 1 Definition.** Let  $\mathcal{I} = (I_n)_n$  be a sequence of open intervals  $I_n \subseteq \mathbb{R}$ , let  $x \in \mathbb{R}$  and  $A \subseteq \mathbb{R}$ .
- We say that  $x \in [0, 1]$  is *captured* by  $\mathcal{I}$ , if for every  $\varepsilon > 0$  there exists some  $n \in \mathbb{N}$  with  $\text{diam}(I_n) < \varepsilon$  and  $x \in I_n$ .
  - We say that  $\mathcal{I}$  is *saturated*, if every  $x \in \bigcup \mathcal{I} := \bigcup_{n=0}^{\infty} I_n$  is captured by  $\mathcal{I}$ .
  - We call  $\mathcal{I}$  a *Vitali cover* of  $A$ , if every  $x \in A$  is captured by  $\mathcal{I}$ .
  - We say that  $\mathcal{I}$  *eliminates*  $A$ , if the  $I_n$  are pairwise disjoint and  $\lambda(A \setminus \bigcup \mathcal{I}) = 0$ .
- 2 Theorem (VCT for intervals).** Let  $A \subseteq [0, 1]$  be Lebesgue measurable and let  $\mathcal{I}$  be a Vitali cover of  $A$ . Then there exists a subsequence  $\mathcal{J}$  of  $\mathcal{I}$  that eliminates  $A$ .

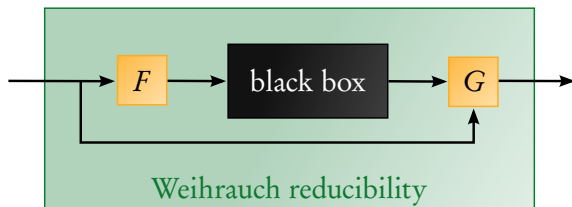
- 1 VCT was studied in reverse mathematics.
- 2 **Theorem (Brown, Giusto, Simpson).** Over  $\text{RCA}_0$ , VCT for intervals is equivalent to  $\text{WWKL}_0$ .
- 3 Here *Weak Weak König's Lemma*  $\text{WWKL}_0$  is the statement that a binary tree of positive measure has a path.
- 4 Inspired by this work, we study VCT in the Weihrauch degrees.
- 5 **Motivation.** As seen in recent work by the authors, the Weihrauch degrees may expose more fine structure.

# 2

## Weihrauch degrees

- 1 General idea.** We look at mathematical tasks where a problem is given to a black box, and the black box has to give back a solution to the problem.
- 2 Example.** Given a bounded sequence of rationals, the black box has to produce an accumulation point.
- 3 Reducibility.** Assume we have a black box solving a certain problem  $\mathcal{A}$ . Can we use it to solve another problem  $\mathcal{B}$ ?
- 4 Approach.**
  - Code an instance  $B$  of problem  $\mathcal{B}$  into a valid instance  $A$  of problem  $\mathcal{A}$ .
  - Run the black box for  $\mathcal{A}$  on  $A$ .
  - Convert the solution for  $A$  back into a solution for  $B$ .

# Weihrauch degrees, formally



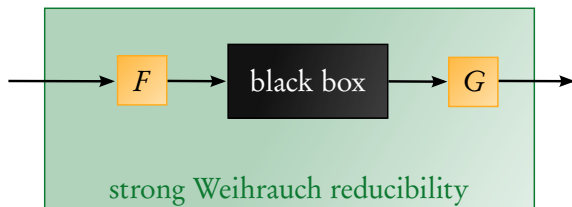
- 1  $F$  and  $G$  are both Turing functionals.<sup>1</sup>
- 2 **Weak reducibility.** The decoding procedure  $G$  has access to the original input.
- 3 That is, if  $B$  is the black box, the computed function is

$$x \mapsto G(\langle B(F(x)), x \rangle).$$

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<sup>1</sup>modulo representations.

# Weihrauch degrees, formally



- 1  $F$  and  $G$  are both Turing functionals.<sup>1</sup>
- 2 **Strong reducibility.** The decoding procedure  $G$  *does not* have access to the original input.
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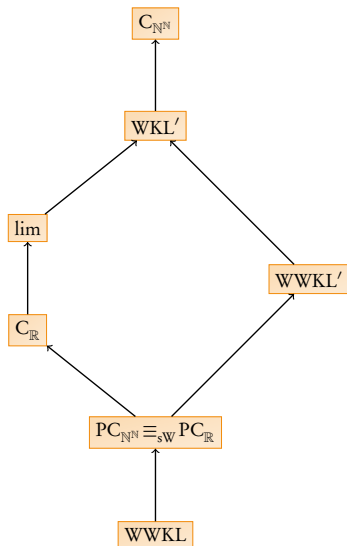
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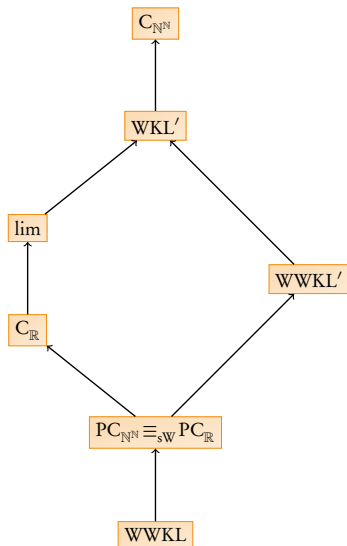
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# A fragment of the Weihrauch zoo



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- 1 The reducibilities produce a degree structure of mathematical tasks of different levels of difficulty.
- 2 Many tasks are equivalent to *choice principles*.

- 1 A choice principle is a black box solving a task as follows:
  - A set is described by giving more and more negative information, encoded into an infinite sequence in, say,  $\mathbb{N}^{\mathbb{N}}$ .
  - That is, step by step we obtain more and more information which elements are *not* in the set.
  - The task is to give an element that will never be removed.
- 2 **Example.** Choice on the natural numbers  $C_{\mathbb{N}}$ .

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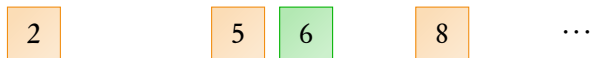
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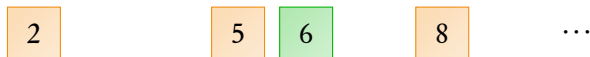


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- 3 Similarly, we can define  $C_{[0,1]}$ ,  $C_{2^{\mathbb{N}}}$ ,  $C_{\mathbb{R}}$ ,  $C_{\mathbb{N}^{\mathbb{N}}}$ , ...

# Other relevant forms of choice

- 1 Positive choice:**  $PC_{[0,1]}$ ,  $PC_{2^{\mathbb{N}}}$ ,  $PC_{\mathbb{R}}$ ,  $PC_{\mathbb{N}^{\mathbb{N}}}$ , ...  
For every valid instance of the problem there exist positive measure many correct solutions.
- 2** Brattka and Pauly noticed that  $WWKL = PC_{2^{\mathbb{N}}} = PC_{[0,1]}$ .
- 3 Arithmetic choice:**  $\Sigma_k^0 C_{\square}$ ,  $\Pi_k^0 C_{\square}$ , ...  
Choice for  $\Sigma_k^0$  and  $\Pi_k^0$  subsets of  $\square$ , using representations  $\delta_{\Sigma_k^0}^{\square}$  and  $\delta_{\Pi_k^0}^{\square}$  inductively defined over  $k$  (Brattka).
- 4 Positive arithmetic choice:**  $P\Sigma_k^0 C_{\square}$ ,  $P\Pi_k^0 C_{\square}$ , ...
- 5 Limited principle of omniscience:** LPO  
Characteristic function of  $0^{\mathbb{N}} \in \mathbb{N}^{\mathbb{N}}$ .

# 3

## VCT in the Weihrauch degrees

# A natural, but trivial version

- 1 The blackbox model used in the Weihrauch degrees requires that we formulate the Vitali Covering Theorem in such a form.
- 2 There is more than one way of doing this.
- 3 **Definition.** By  $\text{Int}$  we denote the set of sequences  $(I_n)_n$  of open Intervals  $I_n = (a, b)$  with  $a, b \in \mathbb{Q}$ .
- 4 **Definition.**  $\text{VCT}_0 : \subseteq \text{Int} \rightrightarrows \text{Int}$  with

$\text{VCT}_0(\mathcal{I}) := \{\mathcal{J} : \mathcal{J} \text{ is a subsequence of } \mathcal{I} \text{ that eliminates } [0, 1]\}$

and  $\text{dom}(\text{VCT}_0)$  contains all  $\mathcal{I} \in \text{Int}$  that are Vitali covers of  $[0, 1]$ .

# A natural, but trivial version

- 1 **Theorem (Brattka, Pauly).**  $VCT_0$  is computable.
- 2 **Proof idea.** Search for a finite sequence of disjoint intervals covering  $[0, 1]$  up to measure  $1/2$ . The classical VCT guarantees that we find this.

Iterate for the *interior* of the remaining uncovered area up to measures  $1/4, 1/8, 1/16 \dots$

This is effective. □

- 3 So the most straight-forward formulation of VCT is trivial in the Weihrauch degrees.
- 4 To obtain more interesting results, we need to look at contrapositive versions of VCT.



# Two non-trivial versions

- 1 Idea.** Given an  $\mathcal{I}$  that violates some of the requirements for being a Vitali cover, we want to find an  $x \in [0, 1]$  witnessing this violation.
- 2** Again, there is more than one formalisation for this idea, as there are different ways and degrees of violating the requirements.
- 3** It will turn out that these differences actually effect different computational strengths of the associated mathematical tasks.

# Two non-trivial versions

**1 Definition.**  $\text{VCT}_1 : \subseteq \text{Int} \Rightarrow [0, 1]$  with

$$\text{VCT}_1(\mathcal{I}) := [0, 1] \setminus \bigcup \mathcal{I}$$

and  $\text{dom}(\text{VCT}_1)$  contains all  $\mathcal{I} \in \text{Int}$  that are saturated and that do not have a subsequence that eliminates  $[0, 1]$ .

**2 Definition.**  $\text{VCT}_2 : \subseteq \text{Int} \Rightarrow [0, 1]$  with

$$\text{VCT}_2(\mathcal{I}) := \{x \in [0, 1] : x \text{ is not captured by } \mathcal{I}\}$$

and  $\text{dom}(\text{VCT}_2)$  contains all  $\mathcal{I} \in \text{Int}$  that do not have a subsequence that eliminates  $[0, 1]$ .

# Characterisation of $VCT_1$

**1 Lemma (Vitalisation).** There exists a computable map  $V : \text{Int} \rightarrow \text{Int}$  such that  $\bigcup \mathcal{I} = \bigcup V(\mathcal{I})$  for all  $\mathcal{I} \in \text{Int}$  and  $\text{range}(V)$  only consists of saturated sequences of intervals.  $\square$

**2 Theorem.**  $VCT_1 \equiv_{sW} WWKL$ .

**Proof idea.** Show equivalence with  $PC_{[0,1]}$ .

To see  $PC_{[0,1]} \leq_{sW} VCT_1$ , take an instance for  $PC_{[0,1]}$  and apply vitalisation to obtain an instance of  $VCT_1$ .

Inversely, let  $\mathcal{I}$  be a saturated sequence of intervals not having a subsequence that eliminates  $[0, 1]$ .

Compute  $A := [0, 1] \setminus \bigcup \mathcal{I}$ .

As  $\mathcal{I}$  is a Vitali cover of  $\bigcup \mathcal{I}$ , by the (classical) VCT there is a  $\mathcal{J} \subseteq \mathcal{I}$  eliminating  $\bigcup \mathcal{I}$ .

If  $\mu(A) = 0$ , then  $\mathcal{J}$  also eliminates  $[0, 1]$ , contradiction.

Hence  $\mu(A) > 0$  and  $VCT_1(\mathcal{I}) = PC_{[0,1]}(A)$ .  $\square$

**1 Proposition.**  $VCT_1 \leq_{sW} VCT_2$ .

**Proof.** If  $\mathcal{I}$  is a saturated sequence of rational open intervals containing no subsequence eliminating  $[0, 1]$ , then  $\mathcal{I}$  does not cover  $[0, 1]$  and every point  $x \in [0, 1]$  not captured by  $\mathcal{I}$  is not covered by  $\mathcal{I}$ , i.e.  $x \in [0, 1] \setminus \bigcup \mathcal{I}$ .

Hence  $VCT_1$  is a restriction of  $VCT_2$ . □

**2 Proposition.**  $LPO \leq_{sW} VCT_2$

**Proof idea.** Initially start vitalising  $[0, \frac{1}{4}]$ .

If reading a non-zero symbol, stop this.

Instead start vitalising  $[\frac{3}{4}, 1]$ . □

**3 Theorem.**  $VCT_0 <_W VCT_1 <_W VCT_2$ .

**Proof idea.** Use  $LPO \not\leq_W WKL$  (Brattka, Gherardi). □

**4 Theorem.**  $VCT_2 \upharpoonright_W WKL$

1 **Theorem.**  $VCT_2 \equiv_{sW} PC_{\mathbb{R}}$ .

**Proof idea.** Note that

$$PC_{\mathbb{R}} \equiv_{sW} C_{\mathbb{N}} \times WWKL \equiv_{sW} C_{\mathbb{N}} \times VCT_1.$$

So it suffices to show

- $C_{\mathbb{N}} \times VCT_1 \leq_{sW} VCT_2$ , and
- $VCT_2 \leq_{sW} PC_{\mathbb{R}}$ .

- 1 Proof idea (continued).** First we show  $C_{\mathbb{N}} \times VCT_1 \leq_{sW} VCT_2$ , using an idea of Pauly.

*( $\rightarrow$  blackboard)*

- 1 Proof idea (continued).** To see that  $VCT_2 \leq_{sW} PC_{\mathbb{R}}$ , look at *almost Vitali covers*.
- 2 Definition (Almost Vitali cover).** A sequence of intervals  $\mathcal{I}$  is an *almost Vitali cover* of a Lebesgue measurable set  $A \subseteq [0, 1]$  if for all  $\varepsilon > 0$  and

$$U_\varepsilon := \bigcup \{I : I \in \mathcal{I}; \text{diam}(I_n) < \varepsilon\}.$$

it holds that  $\lambda(A \setminus U_\varepsilon) = 0$ .

- 3 Intuition.** If  $\mathcal{I}$  is *not* an almost Vitali cover, then this becomes apparent by excluding long intervals.

- 1 **Definition.**  $\text{NAVC}_{[0,1]} : \subseteq \text{Int} \Rightarrow [0, 1]$  with

$$\text{NAVC}_{[0,1]}(\mathcal{I}) := \{x \in [0, 1] : x \text{ is not captured by } \mathcal{I}\}$$

and  $\text{dom}(\text{NAVC}_{[0,1]})$  contains all  $\mathcal{I} \in \text{Int}$  that are not almost Vitali covers of  $[0, 1]$ .

- 2 A theorem of Brown, Giusto, and Simpson implies that instances of  $\text{VCT}_2$  are in particular instances of  $\text{NAVC}_{[0,1]}$ .
- 3 So it remains to show that  $\text{NAVC}_{[0,1]} \leq_{\text{sW}} \text{PC}_{\mathbb{R}}$ .



**1 Theorem.**  $\text{NAVC}_{[0,1]} \equiv_{sW} \text{P}\Sigma_2^0 \text{C}_{[0,1]}$

**Proof idea.**  $\text{NAVC}_{[0,1]} \leq_{sW} \text{P}\Sigma_2^0 \text{C}_{[0,1]}$  is clear.

Inversely, approximate the complement via  $\bigcap_{i \leq s} O_i[t]$ .

Start vitalising areas that currently look covered.

If they later become uncovered again, suspend vitalisation, etc.

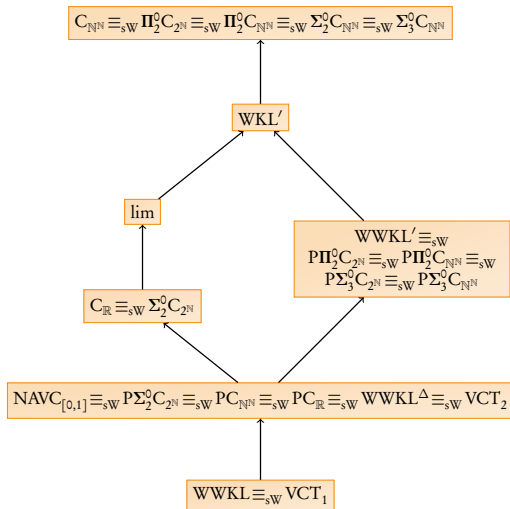
Care is needed with regards to timing and interval lengths used in vitalisation. □

**2** The reverse maths analogue of  $\text{NAVC}_{[0,1]}$  is provable in  $\text{WWKL}_0$  by Brown, Giusto, Simpson.

**3** So we wondered how  $\text{P}\Sigma_2^0 \text{C}_{[0,1]}$  compares to  $\text{PC}_{\mathbb{R}}$ , which led us to study...

# 4

## Arithmetic choice principles



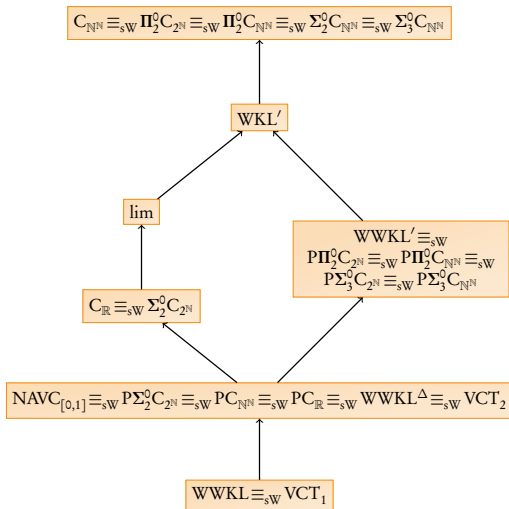
1 In particular, we showed

$$P\Sigma_2^0 C_{2^N} \equiv_{sW} PC_{\mathbb{R}},$$

completing the proof  
that  $VCT_2 \equiv_{sW} PC_{\mathbb{R}}$ . □

2 **Open question.**

Is  $C_{N^N} \equiv_{sW} \Pi_3^0 C_{N^N}$ ?



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*Thank you for your attention.*